

PATENT

Docket No.: HOE 94/F 249 US



Box Patent Application
Commissioner of Patents and Trademarks
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Ligia Dominguez de Walter, Banks Bryan Moore, and Peter Klein

For: PROCESS FOR THE PREPARATION OF HEAT-STABLE, ANTIMONY-FREE
POLYESTERS OF NEUTRAL COLOR AND THE PRODUCTS WHICH
CAN BE PREPARED BY THIS PROCESS

1. Type of Application

This new application is for a(n)

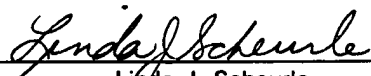
- ☒ Original
- ☐ Design
- ☐ Plant
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on August 28, 1995 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number TB598127519US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.


Linda J. Scheurle
(Person Mailing Paper)

3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

26 Pages of specification

7 Pages of claims

1 Pages of Abstract

1 Sheets of drawing

☐ formal

☒ informal

4. Additional Papers Enclosed

☒ Preliminary Amendment

☐ Information Disclosure Statement (37 CFR 1.98)

☐ Form PTO-1449

☐ Citations

☐ Declaration of Biological Deposit

☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence

☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative

☐ Special Comments

☐ Other

5. Declaration or Oath

☒ Enclosed
executed by

☒ inventor(s)

☐ legal representative of inventor(s) (37 CFR 1.42 or 1.43)

☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached

☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached

☐ Not enclosed

☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s)

☐ showing that the filing is authorized

6. Inventorship Statement

The inventorship for all the claims in this application are:

☒ the same

or

☐ not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,

☐ is submitted.

☐ will be submitted.

7. Language

☒ English

☐ non-English

☐ the attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

☒ An assignment of the invention to Hoechst Aktiengesellschaft and Katholieke Universiteit Leuven

☒ is attached

☒ A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or

☐ FORM PTO 1595

is also attached

☐ will follow

9. Certified Copy

Certified copy(ies) of application(s)

Fed. Rep. Germany
(country)

P 44 30 634.2
(appln. no.)

August 29, 1994
(filed)

(country)

(appln. no.)

(filed)

from which priority is claimed

☒ is (are) attached

☐ will follow

10. Fee Calculation (37 CFR 1.16)

☒ **A. Regular Application**

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$730.00
Total Claims (37 CFR 1.16(c)) 20 — 20 =	0 X	\$22.00	\$0.00
Independent Claims (37 CFR 1.16(b)) 1 — 3 =	0 X	\$76.00	\$0.00
Multiple Dependent Claims, if any (37 CFR 1.16(d)) 0	0 +	\$240.00	\$0.00
<p><input type="checkbox"/> Amendment cancelling extra claims enclosed</p> <p><input type="checkbox"/> Amendment deleting multiple-dependencies enclosed</p> <p><input type="checkbox"/> Fee for extra claims is not being paid at this time</p>			
Filing Fee Calculation:			\$730.00

☐ **B. Design Application**
(\$300.00 — 37 CFR 1.16(f))
Filing Fee Calculation: \$ _____

☐ **C. Plant Application**
(\$490.00 — 37 CFR 1.16(g))
Filing Fee Calculation: \$ _____

11. Small Entity Statement(s)

☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is (are) attached

Filing Fee Calculation (50% of A, B or C above): \$ _____

12. Request for International-Type Search (37 CFR 1.104(d))

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made At This Time

- ☐ Not Enclosed

☐ No filing fee is to be paid at this time.

- ☒ Enclosed

☒ basic filing fee \$ 730.00

☒ recording assignment \$ 40.00
(\$40.00; 37 CFR 1.21(h))

☐ petition fee for filing by other than
all the inventors or person on behalf
of the inventor where inventor refused
to sign or cannot be reached.
(\$130.00; 37 CFR 1.47 and 1.17(h)) \$ _____

☐ for processing an application with a
specification in a non-English language.
(\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$ _____

☐ processing and retention fee
(\$130.00; 37 CFR 1.53(d) and 1.21(l)) \$ _____

☐ fee for international-type search report
(\$40.00; 37 CFR 1.21(e)) \$ _____

Total fees enclosed \$ 770.00

14. Method of Payment of Fees

- ☐ Check in the amount of \$ _____

- ☒ Charge Account No. 08-2453 in the amount of \$770.00. *A duplicate of this transmittal is attached.*

15. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the entire pendency of this application to Account No. 08-2453.
 - ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
 - ☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
 - ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
 - ☐ 37 CFR 1.17 (application processing fees)
 - ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

16. Instructions As To Overpayment

- ☒ credit Account No. 08-2453
- ☐ refund



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☒ **Incorporation by reference of added pages**

- ☐ **Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed**

Number of pages added _____

- ☒ **Plus Added Pages For Pages Referred To In Item 4 Above**

Number of pages added 10

- ☒ **Plus "Assignment Cover Letter Accompanying New Application"**

Number of pages added 4

☐ **Statement Where No Further Pages Added**

- ☐ **This transmittal ends with this page.**